

11-26-01

#5/Fee letter
3/27/02

EB

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

1040 U.S. PRO
09/992743
11/14/01

Docket No. : 46890/DMC/V165
Inventor(s) : Ende Shan
Title : LOW TEMPERATURE ALUMINUM
PLANARIZATION PROCESS

Express Mail Label No. : EL 818385263 US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

Date: November 14, 2001

1. ☒ **FEE TRANSMITTAL FORM** (Submit an original, and a duplicate for fee processing).

2. **IF A CONTINUING APPLICATION**

___ This application is a ___ of patent application No. .

Prior application information: Examiner ; Group Art Unit:

___ This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4),
to provisional Application No. .

3. **APPLICATION COMPRISED OF**

Specification

18 Specification, claims and Abstract (total pages)

Drawings

3 Sheets of formal drawing(s) (FIGS. 1 to 10)

Declaration and Power of Attorney

___ Newly executed

☒ Unexecuted declaration

___ Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4. ___ **Microfiche Computer Program** (Appendix)

5. ___ **Nucleotide and/or Amino Acid Sequence Submission** (if applicable, all necessary)

___ Computer Readable Copy

___ Paper Copy (identical to computer copy)

___ Statement verifying identity of above copies

6. **APPLICANT(S) STATUS UNDER 37 CFR § 1.27**

___ Applicant(s) and any others associated with it/them under § 1.27(a) are a SMALL
ENTITY

UTILITY PATENT APPLICATION TRANSMITTAL
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7. ALSO ENCLOSED ARE

- ☐ Preliminary Amendment
 - ☐ Includes "Cross-Reference to Related Applications"
- ☐ A Petition for Extension of Time for the parent application and the required fee are enclosed
- ☐ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed
- ☐ This application is owned by pursuant to an Assignment recorded at Reel , Frame
- ☐ Information Disclosure Statement (IDS)/PTO-1449
- ☐ Copies of IDS Citations
- ☐ Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- ☐ English Translation Document (*if applicable*)
- ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- ☐ Other

8. CORRESPONDENCE ADDRESS

CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068
Customer Number: 23363

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By



Daniel M. Cavanagh
Reg. No. 41,661
626/795-9900

DMC/rmw

**FEE TRANSMITTAL
UTILITY PATENT APPLICATION**

DATE: November 14, 2001

Docket No. : 46890/DMC/V165

Inventor(s) : Ende Shan

Title : LOW TEMPERATURE ALUMINUM PLANARIZATION
PROCESS

Duplicate

FEE DETERMINATION

CLAIMS AS FILED					
	NUMBER FILED	NUMBER EXTRA	SMALL ENTITY RATE	LARGE ENTITY RATE	FEE
TOTAL CLAIMS	40 - 20	20	x \$9.00	20 x \$18.00	\$360.00
INDEPENDENT CLAIMS	3 - 3	0	x \$42.00	0 x \$84.00	-0-
MULTIPLE-DEPENDENT CLAIMS FEE			\$140.00	\$280.00	
BASIC FEE			\$370.00	\$740.00	\$740.00
TOTAL FILING FEE					\$1,100.00
List Independent Claims: 1, 21, 40					

METHOD OF PAYMENT

☒ Payment Enclosed: Check for \$1,100

☒ The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By



Daniel M. Cavanagh

Reg. No. 41,661

626/795-9900

DMC/rmw

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